

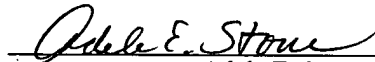
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: R. Blumberg, et al.  
Serial No: 09/122,144  
Filed: 7/24/98  
For: RECEPTOR SPECIFIC TRANSEPITHELIAL TRANSPORT OF  
THERAPEUTICS  
Examiner: P. Nolan  
Art Unit: 1644

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 6th day of October, 1999.

  
Adele E. Stone

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

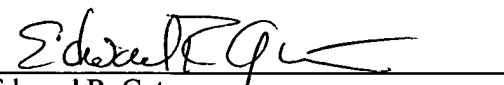
Transmitted herewith is/are the following document(s):

- ☒ Statement Filed Pursuant to the Duty of Disclosure Under 37 CFR §§1.56, 1.97 and 1.98
- ☒ PTO 1449 form
- ☒ Check in the amount of \$240.00
- ☒ Return Receipt Postcard

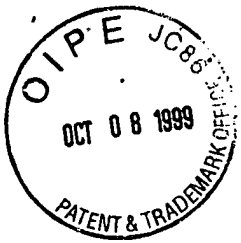
If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$240.00 is enclosed. If any additional fee is required please charge to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
Edward R. Gates  
Registration No. 31,616  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

Docket No. S1383/7003 (ERG)  
Dated: October 6, 1999  
xNDD



ATTORNEY'S DOCKET NO: S1383/7003 (ERG)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: Patrick Nolan  
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Batch No:

#7  
M.93  
10/18/99

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*Adele E. Stone*  
Adele E. Stone

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311.

The fee of \$240 as set forth in 37 C.F.R. §1.17(p) is enclosed.

10/13/1999 STEFERRA 00000019 09122144

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## PART II - Information Cited

[X] A. The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The following are remarks concerning the other information cited:

## PART III Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By: Edward R. Gates  
Edward R. Gates  
Registration No. 31,616  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

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